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CERTIFICATE OF TR	ANSMISSION BY FACSI	MILE (37 CFR 1.8)	Docket No.
Applicant(s): Ye, et al.		, ]	133070 (GE5-0029)
Serial No. 10/677,559	Filing Date October 1, 2003	Examiner	Group Art Unit 2838
Invention: METHOD AND GENERATION	APPARATUS FOR ANTI-ISI. S	ANDING PROTECTION OF	DISTRIBUTED
I hereby certify that this	Recordation Fo	r <u>m Cover Sheet and Assignme</u> (Identify type of correspondence) and Trademark Office (Fax. N	
on June 9, 20			
		Mary Kon (Typed or Printed Name of Fees  Mary Horizontal State of Signature)	son Signing Cartificate)
	Note: Each paper must he	ave its own certificate of mailing.	
			MASS RUBATION /mm.cc):00.24

FORM PTO-1595 (Modified)	RECORDATION FOR	M COVER SHEET	U.S. DEPARTMENT OF COMMERCE  Patent and Trademark Office
(Rev. 03-01)  OMB No. 0651-007 (exp.531/2002)  POWREV03  To the Director of the United State of Conveying party(ies):  Zhibong Ye Changyong Wang Rui Zhou Allen Walling  Additional names(s) of conveying party(ies)  3. Nature of conveying party(ies):  Assignment  Security Agreement  Execution Date: .09/29/03, 09/30/0  4. Application number(s) or patentif this document is being filed to	Merger Change of Name	Please record the attached of 2. Name and address of record Electric Internal Address:  Street Address:  City: Schenestady  Additional name(s) & address	State: NY ZIP: 12345
A. Patent Application No.(s)			
Street Address: 55 Griffin R	whom correspondence be mailed: II Iburn I.I.P	7. Total fee (37 CFR 3.4  Enclosed - Any e credited or debite  Authorized to be  8. Deposit account num	
9. Statement and signature. To the best of my knowledge of the original document.	e and belief, the foregoing info	mation is true and correct to	June 9, 2003  Date

133070 (GE5-0029)

### RECORDATION COVER SHEET PATENTS ONLY (ADDITIONAL INVENTORS)

Dorist	Execution Date
Name of Conveying Party	<del>-</del>
	09/29/03
Peter Claudius Souza	09/29/03
Mark Edward Damo	09/29/03
Vined John	09/29/03
Luis Jose Garces	09/30/03
Lei Li	09/29/03
William James Promorlani	09/29/03
Yan Lui	

#### ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHIHONG (NMN) YE	of	CLIFTON PARK, NY
VINOD (NMN) JOHN	of	MONTPELIER, VT
CHANGYONG (NMN) WANG	of	SHANGHAI CHINA
LUIS JOSE GARCES	of	SCHENECTADY, NY
RUI (NMN) ZHOU	of	CLIFTON PARK, NY
LEI (NMN) LI	of	SHANGHAI CHINA
REIGH ALLEN WALLING	of	CLIFTON PARK, NY
WILLIAM JAMES PREMERLANI	of	SCOTIA, NY
PETER CLAUDIUS SANZA	of	NISKAYUNA, NY
YAN (NMN) LIU	of	SCHENECTADY, NY
MARK EDWARD DAME	of	NISKAYUNA, NY

hereby sell and assign to General Blectric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

### METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS

- a executed concurrently herewith, or
- [ ] filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

ZHIHONG (NMN) YE

Date:

STATE OF NEW YORK

SS:

COUNTY OF SCHENECTADY)

This 24 day of SENEWBER, 2003, before me personally came the above named

ZHIHONG (NMN) YE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Scal

VIVIAN D. BRANDON Notary Public - State of New York Qualified in Albany County No. 4985535 My Commission Expires August 19, 2005

Inventor 2	
	Date:
VINOD (NMN) JOHN	
STATE OF VERMONI	) ) \$5:
COUNTY OF	3
This day of	, 2003, before me personally came the above named
VINOD (NMN) JOHN to massignment, who acknowledge the purposes therein set forth.	ne personally known as the individual who executed the foregoing ed to me that he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 3			
		Date:	
CHANGYO	NG (NMN) WANG		
Wilnessed by:	Signature	Date:	
	Printed Name of Witness	<del></del>	
Witnessed by	Signature	Date:	<del></del>
	Brintod Name of Witness	<del>_</del>	•

Inventor 4	•
LUIS JOSE GARCES	Date: _ 9-29-2003
LUIS JOSE GARCES	

) SS:

STATE OF NEW YORK

COUNTY OF SCHENECTADY)

This 29 day of Lepterrhei 2003, before me personally came the above named

LUIS JOSE GARCES to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Scal

Ministra de la Proposition de la Company de

A 51 1 - 1 1 1
Inventor 5

Date: 09/29/03

STATE OF NEW YORK

COUNTY OF SCHENECTADY)

This 29 th day of Leptonka, 2003, before me personally came the above named

RUI (NMN) ZHOU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

JEACLAND LAND Richary Franks In ten States of Motor York

Charles in Schooling Co.
All Charles Sign (2006)
The Commission Signs Sign (2006)

Inventor 6		
		Datc:
LEI (NMN) I	A	
Witnessod by:	Signature	Date:
	Printed Name of Witness	<del>~~</del>
Witnessed by:	Signature	Date;
•	Printed Name of Witness	<del></del>

Inventor 7	
REIGH ALLEN WALLING	
STATE OF NEW YORK )	S:
COUNTY OF SCHENECTADY)	
This day of	, 2003, before me personally came the above named
REIGH ALLEN WALLING to r foregoing assignment, who acknowled free will for the purposes therein set	ne personally known as the individual who executed the ledged to me that he/she executed the same of his/her own forth.
Seal	(Notary Public)

Inventor 8	4. 1/0	A Pare Date:	9/29/03	
WITCH I AM IAM	ES PREMER	LANI		

STATE OF NEW YORK

) SS:

COUNTY OF SCHENECTADY)

This day of Dollmon

M\_\_\_, 2003, before me personally came the above named

WILLIAM JAMES PREMERLANI to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Scal



(Notary Public)

VIVIAN D. BRANDON
Notary Puh" State of New York
Qualified in Al. Or County No. 4985535
My Commissi Rajires August 19, 2005

d the own
Ε,

Inventor 10

YAN (NMN) LIU

Date: 09/29 (2003)

STATE OF NEW YORK

) ) SS:

COUNTY OF SCHENECTADY)

This May of September, 2003, before me personally came the above named

YAN (NMN) LIU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

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(Notary Public

VIVIAN D. BRANDON Notary Public - State of New York Qualified in Albany County No. 4985535 My Commission Expires August 19, 2005

Inventor	1	1	

MARK EDWARD DAME

Date: 9/29/05

STATE OF NEW YORK

) SS:

COUNTY OF SCHENECTADY)

This 29th day of leptember, 2003, before me personally came the above named

MARK EDWARD DAME to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

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#### **ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHIIIONG (NMN) YE VINOD (NMN) JOHN CHANGYONG (NMN) WANG LUIS JOSE GARCES RUI (NMN) ZHOU LEI (NMN) LI	of of of of of	CLIFTON PARK, NY MONTPELIER, VT SHANGHAI CHINA SCHENECTADY, NY CLIFTON PARK, NY SHANGHAI CHINA
REIGH ALLEN WALLING WILLIAM JAMES PREMERLANI PETER CLAUDIUS SANZA YAN (NMN) LIU MARK EDWARD DAME	of of of	CLIFTON PARK, NY SCOTIA, NY NISKAYUNA, NY SCHENECTADY, NY NISKAYUNA, NY

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

## METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS

区	executed	concurrently	herewith,	or
				_

filed on [date] having Scrial Number [Scrial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1	
	Date:
ZHIHONG (NMN) YE	
STATE OF NEW YORK ) ) SS COUNTY OF SCHENECTADY)	:
This day of	_, 2003, before me personally came the above named
	nally known as the individual who executed the foregoing that he/she executed the same of his/her own free will for
Scal .	(Notary Public)

JUN-09-2004 WED 03:54 PM CANTOR COLBURN LLP Såp-28-2003 09:56 From-NORTHERN POWER SYSTEMS

FAX NO. 8602860115

1-618 2.006/003 1-406

P. 18

GE Docket N . 133070 (GE5-0029)

Inventor 2

Vinael John
VINOD (NMN) JOHN

Date: 29. Sept. 2003

STATE OF VERMONT

) )ss: 471-25-7874

COUNTY OF WASHINGTON

This Mon day of Sept. 2900, 2003, before me personally came the above named

VINOD (NMN) JOHN to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Lorraine Ford

Notary Public State of Vermont

Washington County
My commission expires:
February 10, 2007

Inventor 3	
	Date:
CHANGYONG (NMN) WANG	
Wilnessed by:  Signature  Printed Name of Witness	Date:
Witnessed by:Signature	Date:
Printed Name of Witness	

Inventor 4	
	Date:
LUIS JOSE GARCES	
STATE OF NEW YORK ) ) SS	S:
COUNTY OF SCHENECTADY)	*
This day of	, 2003, before me personally came the above named
	onally known as the individual who executed the foregoing ne that he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 5	
RUI (NMN) ZHOU	
STATE OF NEW YORK ) SS:  COUNTY OF SCHENECTADY)  This day of, 2003, before me persons  RUI (NMN) ZHOU to me personally known as the individual assignment, who acknowledged to me that he/she executed the the purposes therein set forth.	hal who executed the foregoin
Scal (Notar	y Public)

Inventor 6			
		Date:	
LEI (NMN) I	<del>1</del>		
Witnessed by:	Signature	Date:	
	Printed Name of Witness	<del></del>	
Witnessed by:	Signature	Date:	
	Printed Name of Witness		

Inventor 7	
	Date:
REIGH ALLEN WALLING	
STATE OF NEW YORK ) SS:	
COUNTY OF SCHEREOFFICE	, 2003, before me personally came the above named
	e personally known as the individual who executed the dged to me that he/she executed the same of his/her own
Scal -	(Notary Public)

Inventor 8	
·	Date:
WILLIAM JAMES PREMERLAN	1
STATE OF NEW YORK ) SS	S:
COUNTY OF SCHENECTADY)	
m.in day of	, 2003, before me personally came the above named
	NI to me personally known as the individual who executed nowledged to me that he/she executed the same of his/her
Scal	(Notary Public)

Inventor 9	
	Date:
PETER CLAUDIUS SANZA	
STATE OF NEW YORK ) COUNTY OF SCHENECTADY)	SS:
on land	, 2003, before me personally came the above named
	o me personally known as the individual who executed the owledged to me that he/she executed the same of his/her ow
Seal	(Notary Public)

Inventor 10	
	Date:
YAN (NMN) LIU	
STATE OF NEW YORK ) SS: COUNTY OF SCHENECTADY)	
	, 2003, before me personally came the above named
	known as the individual who executed the foregoing that he/she executed the same of his/her own free will for
Scal -	(Notary Public)

Inventor 11	
	Date:
MARK EDWARD DAME	
STATE OF NEW YORK )	SS:
COUNTY OF SCHENECTADY)	the above named
This day of	, 2003, before me personally came the above named
	me personally known as the individual who executed the owledged to me that he/she executed the same of his/her own
Scal	(Notary Public)

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# METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS

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Inventor 1	
	Datc:
ZHIHONG (NMN) YE	
STATE OF NEW YORK COUNTY OF SCHENECTADY	) ) SS:
COUNTY OF SCHENECTADY	)
1	, 2003, before me personally came the above named
	personally known as the individual who executed the foregoing to me that he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 2  VINOD (NMN) JOHN	Date:	
	me personally known dgcd to me that he/she	ore me personally came the above named as the individual who executed the foregoin executed the same of his/her own free will foregoing.
Seal		(Notary Public)

# 3/ 4

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GE Dacket No. 133070 (GE5-0029)

Inventor 3 CHANGYBNG (NMN) WANG Witnessed by: Qiming Li Printed Name of Witness Witnessed by. Hailing War Signature Halging Weng . Printed Name of Witness

Page 4 of 12

Inventor 4	
	Date:
LUIS JOSE GARCES	
STATE OF NEW YORK ) ) SS:	
COUNTY OF SCHENECTADY)	
This day of	2003, before me personally came the above named
	lly known as the individual who executed the foregoing hat he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 5  RUI (NMN) ZHOU	Datc:
STATE OF NEW YORK  COUNTY OF SCHENEC'  This day of  RUI (NMN) ZHOU to assignment, who acknowled the purposes therein set for the purposes the purposes therein set for the purposes therein set for the purpose the	TADY)  , 2003, before me personally came the above named  me personally known as the individual who executed the foregoing edged to me that he/she executed the same of his/her own free will for
Seal .	(Notary Public)

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GE Docket No. 133070 (GE5-0029)

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inventor 6		San 20 2002
dei Li	Date: _	Sep. 30, 200
LEI (NMN) LI		
Witnessed by: Owing Li Signature Qiming Li	Date:	Sep. 30, 2003
Printed Name of Witness		
Witnessed by: Harding Wany Signature	Date:	Sept. 31, 2003.
:. Halging Weng Printed Name of Witness		
1111100	• • •	•

Page 7 of 12

Inventor 7	
REIGII ALLEN WALLI	NG.
STATE OF NEW YORK  COUNTY OF SCHENEC  This day of  REIGH ALLEN WALL foregoing assignment, where will for the purposes	TADY)  , 2003, before me personally came the above named  LING to me personally known as the individual who executed the no acknowledged to me that he/she executed the same of his/her own
Scal	(Notary Public)

Inventor 8	
	Date:
WILLIAM JAMES PREMERLANI	
STATE OF NEW YORK ) ) SS:	
COUNTY OF SCHENECTADY)	2003, before me personally came the above named
This day of,	2003, before me personally came use account
	to me personally known as the individual who execute placed to me that he/she executed the same of his/he
Seal —	· (Notary Public)

Inventor 9	
Date:	
PETER CLAUDIUS SANZA	
STATE OF NEW YORK ) ) SS:	
COUNTY OF SCHENECTADY)	
This day of, 2003, before me personally came the above par	ned
PETER CLAUDIUS SANZA to me personally known as the individual who excloregoing assignment, who acknowledged to me that he/she executed the same of his free will for the purposes therein set forth.	ecuted the
Scal (Notary Public)	

Inventor 10	Date:
YAN (NMN) LIU	
STATE OF NEW YORK ) SS: COUNTY OF SCHENECTADY)  This day of  VAN (NMN) LIU to me personall assignment, who acknowledged to me the purposes therein set forth.	_, 2003, before me personally came the above named  y known as the individual who executed the foregoing that he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 11	••
MARK EDWARD DAME	Date:
MARK EDWARD DAME	
	SS:
COUNTY OF SCHENECTADY)	
This day of	, 2003, before me personally came the above named
	me personally known as the individual who executed the wledged to me that he/she executed the same of his/her own
Seal	(Notary Public)

## ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

## METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS

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and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said issue patents, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1	
ZITHONG (NMN) YE	Date:
STATE OF NEW YORK ) COUNTY OF SCHENECTADY	SS:
This day of	, 2003, before me personally came the above named
WATER ON A CHARM NEW to make the	ersonally known as the individual who executed the foregoing one that he/she executed the same of his/her own free will for
Soal	(Notary Public)

Inventor 2	
	Date:
VINOD (NMN) JOHN	
STATE OF VERMONT	) ) SS:
COUNTY OF	)
This day of	, 2003, before me personally came the above named
ATTACAN (ATRABI) ICYIN IOY	me personally known as the individual who executed the foregoing god to me that he/she executed the same of his/her own free will fo
Seal	(Notary Public)

Inventor 3			
		Date:	
CHANGYON	G (NMN) WANG		
Wilnessed by:	Signature	Date:	
	Printed Name of Witness	<del></del>	
Witnessed by: _	Signature	Dale:	
_	D-inted Name of Witness		

Inventor 4	·
)	Date:
LUIS JOSE GARCES	
STATE OF NEW YORK ) ) SS COUNTY OF SCHENECTADY )	S:
This day of	, 2003, before me personally came the above named
LUIS JOSE GARCES to me person assignment, who acknowledged to not the purposes therein set forth.	onally known as the individual who executed the foregoing ne that he/she executed the same of his/her own free will fo
Seal	(Notary Public)

Inventor 5	
	Date:
RUI (NMN) ZHOU	
STATE OF NEW YORK ) ) SS COUNTY OF SCHENECTADY)	
mus devet	_, 2003, before me personally came the above named
	ally known as the individual who executed the foregoing to that he/she executed the same of his/her own free will for
Scal	(Notary Public)

Inventor 6			
		Date:	
LEI (NMN) L	X		
Witnessed by: _	Signature	Date:	
	Printed Name of Witness		
Witnessed by:	Signature	Date:	and the second s
	Printed Name of Witness		

Inventor 7

DEICHALLEN WALLING

Pate: 22200

STATE OF NEW YORK

) SS:

COUNTY OF SCHENECTADY)

This 297 day of SEPTEMBER, 2003, before me personally came the above named

REIGH ALLEN WALLING to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

MICHARD F. WILSU NOTARY PUBLE-STATE OF N SWALDIED SCHENETARY EDWAYY NO. 4882500 PARLASSION EXPERT LANGUAY TA, 2 197

Inventor 8	
	Date:
WILLIAM JAMES PREMERLANI	
	2003, before me personally came the above named to me personally known as the individual who executed vledged to me that he/she executed the same of his/her et forth.
Scal —	(Notary Public)

Inventor 9	
Date:	
PETER CLAUDIUS SANZA	
STATE OF NEW YORK ) ) SS:	
COUNTY OF SCHENECTADY)	
This day of, 2003, before me personally came the above named	
PETER CLAUDIUS SANZA to me personally known as the individual who executed to foregoing assignment, who acknowledged to me that he/she executed the same of his/her or free will for the purposes therein set forth.	lic wi
Seal (Notary Public)	

Inventor 10
Date:
YAN (NMN) LIU
STATE OF NEW YORK ) ) SS:
COUNTY OF SCHENECTADY)
This day of, 2003, before me personally came the above named
YAN (NMN) LIU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.
Scal (Notary Public)

Inventor 11  MARK EDWARD DAME	Date:
STATE OF NEW YORK  STATE OF NEW	, 2003, before me personally came the above named ne personally known as the individual who executed the wledged to me that he/she executed the same of his/her own
Seal	(Notary Public)